Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-20**

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-JUN-2020 11:51:44

S.No. Bead of Account Value is yet report Main Control Main Control Main Control Net yet report 1 Assets 4.947.742	O£ 3
1 Assets 4,947,742 4,947,742 1.1 Proportity & Equipment 4,947,742 4,947,742 1.3 Investment In Booth, Securities 1 1 1.4 Investment In Debt, Securities 1 1 1.5% of the balance sheet value in the case of tenue of more than 3 yeas. 1 1 1.1% of the balance sheet value in the case of tenue of more than 3 yeas. 1 1 1.1% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1 1.1% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1 1.1% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1 1 1.1% of the balance sheet value, in the case of tenue of more than 3 yeas. 1	Adjusted
11 Property & Equipment 4.947.742 4.947.742 12 Interplete Acet 4.947.742 4.947.742 13 Interplete Acet 4.947.742 4.947.742 14 Interplete Acet 4.947.742 4.947.742 15 Interplete Acet 4.947.742 4.947.742 17.255 of the balance sheet value in the case of feruse or none sheat 3 yeas. 4.947.742 4.947.742 16 17.956 of the balance sheet value, in the case of feruse or none than 3 yeas. 4.947.742 1.755.757.7551 17.756 11.7256 of the balance sheet value, in the case of teruse or none than 3 yeas. 10.302.410 10.302.410 18 17.956 of the balance sheet value, in the case of teruse or none than 3 yeas. 10.302.410 10.302.410 11.7257.957 1.7259.703 1.7257.7551 1.7259.703 1.727.7551 1.727.971 18	Value
Integration Integration 13 Integration 13 Integration 14 Integration 15 Integration 15 Integration 15 Integration 15 Integration 15 Integration 16 Integration 17 Integration 18 Integration 19 Integration 10 Integration 10 Integration 11 Integration 12 Integration 13 Integration 14 Integration 15 Integration 16 Integration 17 Integration 16 Integration 17 Integration 16 Integration 17 Integration 16 Integration 17 Integration 18 Integration 19 Integration <	
1.1.4 Investment in Ged. Securities 1.4 Investment in Deb. Securities 1.4.5 Other Securities 1.5.6 Other Securities 1.7.5% of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.7.5% of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.7.6% of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.7.7% of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.7.7% of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.8 Investment in Equity Securities 1.8 file of the balance sheet value, in the case of tenuer from 1-3 yeas. 1.8 Investment in Equity Securities 1.8 Investment in Equity Securities 1.9 investment in Deb. 1.9 in Balance sheet on Deb. 1.9 in Subscription money against twostment in Deb.	
1.4 Investment in Debt. Securities	
If Isted than:	
1.25% of the balance sheet value, in the case of tenue from 1-3 years.	
III. 10% of the balance sheet value, in the case of tenure of more than 3 yeas. III. 10% of the balance sheet value, in the case of tenure upto 1 year. III. 10% of the balance sheet value, in the case of tenure of more than 3 yeas. III. 10% of the balance sheet value, in the case of tenure of more than 3 yeas. 15. Investment in Equity Securities III. 10% of cash securities on the culoff date as computed by the Securities Exchange for the case of tenure of more than 3 yeas. III. Funitated. 10% of each securities on the culoff date as computed by the Securities Exchange for the case of tenure of more than 3 yeas. 15. Investment in Equity Securities 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410 III. Funisted. 10% of carrying value. 10.302.410 10.302.410 10.302.410	
If unlisted than:	
iii. 12.5% of the balance sheet value, in the case of tenue from 1-3 yeas. iiii. 12.6% of the balance sheet value, in the case of tenue from 1-3 yeas. 15 Investment in Equity Securities iii. 12.6% of the balance sheet value, in the case of tenue from 3 yeas. 16 Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for 11.727,351 17.8 Iii. If initiated .00% of each securities on the cutoff date as computed by the Securities broke. 10.302,410 18.0 Iii. Subscription money against livestment in POtoffer for Sale: Amount paid as subscription money 10.302,410 19.0% Harcut shall be applied to value of investment in any asset including shares of listed securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements on pledged 10.6 Investment in associated Companies/undertaking Iii. If any of Stock Exchange / Clearing House against Margin Financing rangements. 1.6 1.7 Investment in associated Companies/undertaking Iii. If any of Stock Exchange for each securities as computed by the Securities Exchange for respective securities 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository 3.300,000 1.9 Margin deposits with exchange and clearing house Iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	
iii. 15% of the balance sheet value, in the case of tenue of more than 3 yeas. iiii. 11% of the balance sheet value, in the case of tenue of more than 3 yeas. 1.5 Investment in Equity Securities iii.1 iii.1 iiii.1 iii.1 iii.1	
1.5 Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for 11,727,381 1,759,103 1.6 It flipted 15% or VAR for each securities on the cutoff date as computed by the Securities Exchange for 11,727,381 1,759,103 1.7 Invisited, 10% or Carsing value. 10,302,410 10,302,410 10,302,410 1.7 Invisited, 10% or Carsing value. 10,302,410 10,302,410 10,302,410 1.7 Investment in any asset including shares of listed securities to securities which are pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange Clearing House against Margin Exchange for respective securities which are spolicabile (August 25, 2017) 1.6 Investment in associlated companies/undertaking 1 1.7 Investment in associlated companies/undertaking 1 1.8 Statutory or eguitatosian ceopasits with the exchanges, clearing house or central depository 3,300,000 1.8 Statutory or eguitatosian ceopasits with the exchanges, clearing house or central depository 3,300,000 1.9 Margin deposits with exchange and cle	
respective securities whichever is higher. 10.302,410 II. If unised. 100% of caming value. 10.302,410 II. Subscription money against Investment in POolffer for Sale: Amount paid as subscription money 10.302,410 II. Subscription money against Investment in any asset including shares of listed securities broke. 10.302,410 IV.100% Haicut shall be applied to Value of Investment in any asset including shares of listed securities 10.302,410 Previded that 100% haicut shall not be applied in case of investment in hose securities which are Pledged in favor of Stock Exchange (Clearing House against Maring Inancing requirements or pledged in favor of Stock Exchange (Clearing House against Maring Inancing requirements or pledged in favor of Stock Exchange (Clearing House against Maring Inancing requirements or pledged in favor of Stock Exchange Clearing House against Maring Inancing requirements or pledged in favor of Stock Exchange Clearing House or central depository 3.300,000 1 Investment in subscription by applicable (August 25, 2017) 1 1.6 Instrume (Inancial institutions or debt securities which exercises whichever is higher. 3.300,000 1.7 Investment in subscription house. 3.300,000 3.300,000 1.8 Statutory or eguitabusic deposits and the exchanges, clearing house or central depository 3.300,000 3.300,000 1.8 Statutory or eguitabusic deposits	
ii.f.trunisted, 100% of carrying value. 10.302,410 10.302,410 iii.Subscription money against Investment in PObffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities tracker. 10.302,410 W100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in schedule lift of the Regulations in respect of investment in socurities shall be applicable (August 25, 2017) 1 1.6 Investment in subscriptions in respect of investment in socurities trachange for respective securities which are higher. 1 1.7 Investment in associated companies/undertaking 1 1 1.8 It listed 20% or Value. 3.300.000 3.300.000 3.300.000 1.8 Statutory or regulatory deposits/asic deposits with the exchanges, clearing house or central depository 3.300.000 3.300.000 1.9 Margin deposits with exchange and clearing house. 1 1 1 1.10 Deposits with exchange and clearing house or central depository 3.300.000 3.300.000 3.300.000	9,968,248
III.Subscription money against livestment in IPObffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities tooker. w.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledges status as on reporting date. (Uv) 19, 2017. Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing provided in scheduell II of the Regulations in respect of investment in scuch cases, the haircut as provided in scheduell II of the Regulations in respect of investment in scuch cases, the haircut as provided in scheduell II of the Regulations in respect of investment in associlated companies/undertaking 1.7 Investment in associlated companies/undertaking Investment in associlated companies/undertaking 1.8 Statutory or regulation of negative status as computed by the Securities which are provided in authorized intermediary against borowed securities under SLB. 3,300,000 1.8 Statutory or regulation and regulative and the application or debt securities to the securities to the disposition and prepayments. 10 1.10 Deposit with authorized intermediary against borowed securities under SLB. 11 1.11 Other disposition and prepayments 12 1.12 Accrued intermediary against borowed securiti	
iv.100% Haircut shall be applied to Value of Investment in any asset including shares of Iside securities that are in Block, Preeze or Pledget status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule lll of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking i.1.7 Investment in associated companies/undertaking i.1.8 Statutory or regulations in separation to the securities shall be applicable (August 25, 2017) 1.8 Statutory or regulations in separation to securities as computed by the Securities shall be applicable (August 25, 2017) 1.8 Statutory or regulations in separation to securities as computed by the Securities schedule (August 25, 2017) 1.8 Statutory or regulation to separatism to securities schedule (August 25, 2017) 1.8 Statutory or regulation to applicable (August 25, 2017) 1.8 Statutory or regulation to separatism the exchanges, cleaning house or central depository any other entity. 3,300,000 1.9 Margin depositis with exchange and cleaning house. 10 1.10 Deposit with exchange and cleaning house.	
that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017) ² Provided that 100% haincut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Bank against short term financing arrangements. In such cases, the baircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking	
Piedged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short term financing amagements. In such cases, the haircut as provided in schedule II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 16 Investment in subsidiaries 17.1 Investment in associated companies/undertaking 1.17.1 Investment in associated companies/undertaking 1.18.1 Statuory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 3.300,000 or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1.13 Dividends receivable against Repo financing. 1.14 <td></td>	
in favor of Banks against Short Ferm financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking	
1.6 Investment in subsidiaries Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking Investment in subsidiaries 1.8 It nilisted, 100% of net value. 3,300,000 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 3,300,000 1.9 Margin deposits with exchange and clearing house. Investment in subsidiaries and prepayments 1.10 Deposit with authorized intermediary against borrowed securities under SLB. Investment is a prepayments 1.11 Other deposits and prepayments Investment is a prepayments Investment is a prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities Investment and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed and ber related parties Investment and prepayments 1.13 Dividends receivables approximate the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) Investment and prepayments 1.14 Armount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in	
1.7 Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking 1.8 It listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher. ii. If unlisted, 100% of net value. 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository 3,300,000 7.9 Margin deposits with exchange and clearing house. 1 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 1 1.11 Other deposits and prepayments 1 1.22 Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities 1 1.13 Dividends receivables. 1 1 1.14 Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities 1 1.13 Dividends receivables. 1 1 1.14 Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities 1 1.14 Accrued interest, profit or mark- up on amounts placed with related parties 1 1 1.15 Is bort frem Loan 10 cent ployeqees. Loans are Secured and Due for repaymen	
If filsted 20% or V3R of each securities as computed by the Securites Exchange for respective securities whichever is higher. ii. If listed 20% or V3R of each securities as computed by the Securites Exchange for respective securities whichever is higher. ii. Inlisted.10% or net value. ii. Inlisted.20% or V3R of each securities as computed by the securities under SLB. ii. Inlisted.10% or net value. iii. Receivable against Repo financing. iii. Receivables other than tade receivables ii. Receivables from clearing house or securities exchange(s) ii. Receivables from clearing house or securities exchange(s) ii. Receivables from clearing house or securities exchange(s) ii. Receivables from clearing house or securities in all markets including MtM gains. ii. Receivables from clearing house or securities in all markets including MtM gains. iii. Receivables against magin financing, the aggregate If (I) value of securities held in the blocked account after applying VAR based Haircut, (II) cash deposited as collateral by the financee (III) market value of any securities deposited as collateral after applying VAR based Haircut, (II) cash deposited as collateral by the financee (III) market value of any securities deposited as collateral after applying VAR based Haircut, (II) cash deposited as collateral by the financee (III) market value of net value are on account of the net balance sheet value. ii. Incase receivables are against margin financing, the aggregate If (I) value of securities held in the blocked account after applying VAR based Haircut, (II) cash deposited as collateral by the financee (III) market value of any securities deposited as collateral by the financee (III) market value of nen	
ii. If unlisted, 100% of net value.	
1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 3,300,000 1.9 Margin deposits with exchange and clearing house.	
or any other entity. 1.0 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.22 Accrued interrest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables 10,651,113 1.16 Receivables from clearing house or securities exchange(s) ii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as cleared by the financee (iii) market value of any securities deposited as cleared after applying VAR based haircut. ii. In case receivables are against margin financing, the aggregate if (i) value. ii. Lower of net balance sheet value or value determined through adjustments.	
1.10 Deposit with authorized intermediary against borrowed securities under SLB.	
1.11 Other deposits and prepayments Image: constraint of the second	
etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables 10,651,113 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers ii. Receivables or exclustes are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as co	
100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Image: Contemportal Stress and Str	
1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from Customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. In case receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) Image: Shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months Image: Shall not be included in the investments.) 1.15 i. Receivables other than trade receivables 10,651,113 10,651,113 1.16 Receivables from Clearing house or securities exchange(s) Image: Shall not be including MtM gains. Image: Shall not be including MtM gains. 1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. Image: Shall not be including MtM gains. Image: Shall not be included in the bole securities in all markets including MtM gains. 1.17 Receivables from customers Image: Shall not securities deposited as collateral after applying VAR based haircut. Image: Shall not securities deposited as collateral after applying VAR based haircut. 1. Lower of net balance sheet value or value determined through adjustments. Image: Shall not securities deposited as collateral after applying VAR based haircut. Image: Shall not securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
ii. Receivables other than trade receivables 10,651,113 10,651,113 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from Customers ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. ii. In case receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, collateral upon entering into contract,	
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. In case receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. In case receivables are against margin financing, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 Receivables from customers ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 1.17 1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin financing, 5% of the net balance sheet value. iii. Incase receivables are against margin financing, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, collateral upon entering into contract,	
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,	
collateral upon entering into contract,	
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 672,184 0	672,184
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 11,842,626 6,021,451	6,021,451
value of securities purchased for customers and held in sub-accounts after applying VAR based	5,021,40
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaP based baircuts	
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	
1.18 Cash and Bank balances	
i. Bank Balance-proprietory accounts4,5330ii. Bank balance-customer accounts9,171,9440	4,533 9,171,944
III. Bank balance-customer accounts 9,171,944 0 iii. Cash in hand 17,723 0	9,171,942

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-20**

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-JUN-2020 11:51:44

	Submission Date 13-3011-2020 11.31.44		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	62,637,626	36,981,819	25,856,083
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	6.698.923	0	6,698,923
	Current Liabilities	0,090,923	0	0,090,923
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	3,157,919	0	3,157,919
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation	9,791,838	0	9,791,838
	ix. Other liabilities as per accounting principles and included in the financial statements			
	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
	Subordinated Loans			
	 i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 			
2.5	Total Liabilites	19.648.680	0	19.648.680
	Ranking Liabilities Relating to :	,	•	
	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
	Repo adjustment			
·				

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAY-20**

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-JUN-2020 11:51:44

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	982,520	982,520
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the <u>customer as collateral and the value of securities held as collateral after applying VAR based Haircuts</u> ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	982,520	,
3.12	Liquid Capital	42,988,946	35,999,299	5,224,883