Liquid Capital Statement

for the month of 30-NOV-21

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2021 12:17:43

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Assets	4.070.507	4 070 507	
	Property & Equipment	4,278,527	4,278,527	
	Intangible Assets			
	Investment in Govt. Securities			
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	31,463,248	4,719,487	26,743,761
	respective securities whichever is higher.	31,403,240	4,719,407	20,743,761
	ii. If unlisted, 100% of carrying value.	10,302,410	10,302,410	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,900,000	2,900,000	
	or any other entity. Margin deposits with exchange and clearing house.	50,000	0	50,000
	Deposit with authorized intermediary against borrowed securities under SLB.	50,000	U	50,000
	Other deposits and prepayments			
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	4,761,991	4,761,991	
	Receivables from clearing house or securities exchange(s)	1,101,001	.,,,,,,,,,	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	7,503,181	0	7,503,181
	iv. Balance sheet value	0.554.105	0.045.40	0.045.105
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	3,554,197	2,015,487	2,015,487
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	1,074,136		
	ii. Bank balance-customer accounts iii. Cash in hand	3,995,990 25,931		
	iii. Oddinimidiid	20,931	0	20,931

Liquid Capital Statement

for the month of 30-NOV-21

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2021 12:17:43

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1.99 Total Assets 08,99,911 28,977,000 41,498,498 22,409,901 28,977,000 41,498,498 28,977,000 41,498,498 29,977,000 41,498,498	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
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Payable against leveraged market products 11,197,599 0, 11,197,599 1,1	2.1	Trade Payables			
a Protectic Counterman					
Current Liabilities			11 197 599	0	11 197 599
Accuses and other parables 207.609 0, 207.609	2.2		, ,	J.	,,
III. Short stem borrowings					
Current portion of subordinated clanes			297,609	0	297,609
vi Defended Liabilities vi Provideon for band debts vi Provideon for band for band to the Common for th					
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iii. Staff retirement benefits iii. Advance against shares for increase in Capital of Securitles broker. 100% halicut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvsh have been obtained relevant Regulatory approvsh have been completed. e. Audito sistified that such advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Audito sistified that such advance and included in the financial statements. 2.4 Subordinated Loans ii. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% hastory will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. S. No facilitated loans which do not fulfill the conditions specified by SECP and a subordinated loans which do not fulfill the conditions specified by SECP. 2.5 Total Liabilities 3.1 Concentration in Margin Financing The amount calculated cleans which do not fulfill the conditions specified by SECP. 3.2 Concentration in Margin Financing The amount by which the aggregate of amounts receivable from total financees. 3.3 Net underwriting Commitments (a) In the case of right issue; if the market value of securities is less than or equal to the subscription price; the aggregate of in the aggregate of or market severable from total financees. 3.4 Nequation of the proposal or provides an agricultu		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
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3.6 Amount Payable under REPO					
	3.6				
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-NOV-21

of M& Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2021 12:17:43

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of	0	2,867,895	2,867,895
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	2,867,895	
3.12	Liquid Capital	55,989,823	26,110,007	24,620,803