Liquid Capital Statement

for the month of 30-APR-19

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 15-MAY-2019 12:40:08

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	Pak Rupee	Adjustments	Net Adjusted Value
1 Assets			
7 (330)(3	Equipment 4,947,742	2 4.947.742	
riopeity a	Equipment	4,541,142	
1.2 Intangible Ass 1.3 Investment in	Govt. Securities		
	n Debt. Securities		
If listed than			
	ance sheet value in the case of tenure upto 1 year.		
ii. 7.5% of the	alance sheet value, in the case of tenure from 1-3 years.		
	alance sheet value, in the case of tenure of more than 3 years.		
If unlisted th	an: Ilance sheet value in the case of tenure upto 1 year.		
ii. 12.5% of the	balance sheet value, in the case of tenure from 1-3 years.		
iii. 15% of the	alance sheet value, in the case of tenure of more than 3 years.		
	n Equity Securities		
	r VaR of each securities on the cutoff date as computed by the Securities Exchange for 15,707,958	2,356,194	13,351,764
	urities whichever is higher. 10% of carrying value. 10,302,410	10,302,410	
	money against Investment in IPO/offer for Sale: Amount paid as subscription money	10,502,410	
	hares have not been alloted or are not included in the investments of securities broker.		
iv.100% Haircu	shall be applied to Value of Investment in any asset including shares of listed securities ck, Freeze or Pledge status as on reporting date. (July 19, 2017)		
Provided that	100% haircut shall not be applied in case of investment in those securities which are		
Pledged in fa	or of Stock Exchange / Clearing House against Margin Financing requirements or pledged		
	ks against Short Term financing arrangements. In such cases, the haircut as provided in		
1.6 Investment in	the Regulations in respect of investment in securities shall be applicable (August 25, 2017)		
	n associated companies/undertaking		
	r VaR of each securities as computed by the Securites Exchange for respective securities		
whichever is h			
	100% of net value. Squared to the square of	2 425 000	
1.8 Statutory or re or any other e		3,125,000	
1.9 Margin depos	s with exchange and clearing house.		
1.10 Deposit with	uthorized intermediary against borrowed securities under SLB.		
	and prepayments est, profit or mark-up on amounts placed with financial institutions or debt securities		
etc.(Nil)			
	t of markup accrued on loans to directors, subsidiaries and other related parties		
1.13 Dividends rec	ivables. vable against Repo financing.		
	as purchaser under the REPO agreement. (Securities purchased under repo arrangement		
shall not be in	cluded in the investments.)		
1.15 i. Short Term L	an To Employees: Loans are Secured and Due for repayment within 12 months		
ii Receivable	other than trade receivables 6,254,739	6,254,739	
	from clearing house or securities exchange(s)	0,201,700	
	f claims other than those on account of entitlements against trading of securities in all		
	ing MtM gains.		
	on entitlements against trading of securities in all markets including MtM gains. from customers		
	vables are against margin financing, the aggregate if (i) value of securities held in the	1	
blocked acco	unt after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)		
	of any securities deposited as collateral after applying VaR based haircut.		
	balance sheet value or value determined through adjustments. vables are against margin trading, 5% of the net balance sheet value.		
	after deducting haircut		
	valbes are against securities borrowings under SLB, the amount paid to NCCPL as		
	n entering into contract, t after deducting haricut		
	her trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 402,214	1 0	402,214
iv. Balance sh	eet value		
	ner trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 17,657,480	9,102,321	9,102,321
	ties purchased for customers and held in sub-accounts after applying VAR based sh deposited as collateral by the respective customer and (iii) the market value of		
	as collateral after applying VaR based haircuts.		
vi. 100% haircu	t in the case of amount receivable form related parties.		
	nk balances		
	e-proprietory accounts 394 e-customer accounts 4,626,420		
iii. Cash in ha			

Liquid Capital Statement

for the month of 30-APR-19

of Ms. Dosslani's Securities (Pvt) Ltd

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Supplementary Supplementar					
Bebildings	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Labellites Payables	1.19	Total Assets	63,058,850	36,088,406	27,517,606
Tarde Payables	2				
Payagole to exchange and cleaning house	2.1				
Payable against leveraged makest products 4,096,001 0,409,001		i. Payable to exchanges and clearing house			
Current Liabilities		ii. Payable against leveraged market products			
Satutory and requisitory dues I. According and other populations II. Short term benevings I. Current production of subdedied of loans I. Current production of subdedied of loans I. Current production of subdedied of loans II. Defined Lightline II. Provided Test action III. Provided Test action II. Provided Test action II. Short Current Liabilities II. Long-term financing II. Long-term financing II. Long-term financing II. Long-term financing II. Short Current Liabilities III. Short Current Liabilities I	2.2		4,098,601	0	4,098,601
E.Accusak and other payables 1,942,038 0 1,942,038 1.942,0	2.2				
iii. Short-term between 5 is Current portion of subdefinited loans V. Current portion of subdetalloans V. Development of subdetalloans V. Subfraction of subdetalloans V. Development of subdetallo			1 942 039	0	1 942 039
V. Current portion of long term liabilities vi. Provision for band debts v. Determined Liabilities vi. Provision for band debts v. Other liabilities are per accounting principles and included in the financial statements v. Other liabilities are per accounting principles and included in the financial statements v. Other liabilities are per accounting principles and included in the financial statements v. Victoria including the provision of the provision of financing obtained from a financial institution including amount due against financial great provision of financing obtained from a financial institution including amount due against financial great provision of financing obtained from a financial institution including amount due against financial great provision of financing obtained from a financial institution including amount due against financial great			1,012,000		1,012,000
will provision for based debts will be provision for based debts will be provision for based debts will be provided that the based debts will be provided that the based debts will be based of Direction of the company has approved the increase in capital c. Relevant Regulatory approved have been obtained d. There is no unreasonable debty in base of Phase against advance and all regulatory requirements betaing to the increase in paid up capital have been completed. d. There is no unreasonable debty in base of Phase against advance and all regulatory requirements betaing to the increase in paid up capital have been completed. d. No. Other flaibilities app as accounting principle and included in the fillad. A. Other flaibilities app as accounting principle and included in the fillad. A. Other flaibilities appeared by the provides that 100% hashed will be allowed against subordinated Loans which fulfill the conditions specified by SCP. In this regard, chlorowing conditions are specified by will be added to the provides that 100% hashed will be allowed against subordinated Loans which fulfill the conditions specified by SCP. In this regard, chlorowing conditions are specified by will be added to the best provides that the fill be made to the Liquid Capital and revised Liquid Capital statement must be understant to exchange. 1. Concentration in Margin plain and in a substantial provides that the debts of the substantial to the substantial					
will. Provision for bad debts will. Provision for travation 2. Other intaillates as per accounting plinciples and included in the financial statements 2. Note: In the provision of travation of the provision of financial statements 2. Note: In the provision of the provision of financial statements 2. Note: In the provision of the provision of financial statements 3. Long-from financing obtained from financial institution to financial gradient of the provision of financial institution including amount of the against financial statement of financial institution including amount of the against financial statement of financial institution including amount of the against financial statement of financial institution included shares if: 3. It is the statement benefit shares if: 3. The resisting authorized shares if: 4. The resisting authorized shares if: 5. The resisting authorized shares if: 5. The resisting authorized shares if: 6. The resisting authorized shares if: 6. The resisting authorized shares if: 7. The resisting authorized shares if: 8. The resisting a					
viii Previous for travation		vii Provision for had debts	8 944 213	0	8 944 213
2.3 Non-Current Liabilities I. Long-Term financing obtained from financial institution Long term proton of financing obtained from a financial institution including amount due against finance lease Ditted long-term financing I. Staff reference organist shares or increase in Capital of Securities broker; 100% halicut may be allowed in a financial institution including amount due against finance lease Ditted long-term financing I. Staff reference the cert in the status is: II. Advance against shares or increase in Capital of Securities broker; 100% halicut may be allowed in a financial status is: II. Advance against shares or increase in Capital of Securities broker; 100% halicut may be allowed in a financial status in the status of the company has approved the increase in capital to Release and the status of the company has approved here increase in capital to Release in Capital to R			0,011,210		0,011,210
Long-Term financing a Long-Term financing potalised from financial institution Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff extrement benefits iii. Advance against shares for increase in Capital of Securities blocker. 100% halcout may be allowed in respect of advance against shares if: a the oxioling sufficient of share capital states the proposed enhanced share capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delayl in sixe of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is statisfied that such advance is of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is statisfied that such advance is of shares against the increase of capital. v. Other liabilities as per accounting principles and included in the financial statements. 2. Subordinated Loans in 100% of subordinated that 100% halcout will be allowed against subordinated Loans which fulfill the conditions are specified a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 10 months or freporting period. b. No halcout will be allowed against short term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the liquid Capital and revised liquid Capital statement must be subcribited to excharge. b. Subordinated loans which fulfill the conditions specified by SECP 2.5 Total Llabilities 1.4,894,853 9. Panking Llabilities Relating to: 3.1 Concentration in Margin Financing The amount by which the aggregate of amounts secenable from any of the financese exceed 10% of the aggregate of amounts secenable from total finances. 3.1 Concentration in Margin Financing The amount by which the aggregate of amounts secenable from total finances. 3.2 Conc					
a Long-Term financing obtained from financial institution functioning amount due against finance lease b. Other long-Term financing l. Staff retelement benefits interest retelement benefits state of the company of th	2.3	Non-Current Liabilities			
III Staff retirement benefits III. Advance against shares for increase in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital C. Retevant Regulatory approvals have been obtained c. Auditor is satisfied that such advance and all regulatory requirements c. Auditor is satisfied that such advance and all regulatory requirements c. Auditor is satisfied that such advance is against the Increase of capital v. Other Ilabilities as per accounting principles and included in the financial statements v. Other Ilabilities as per accounting principles and included in the financial statements v. Other Ilabilities as per accounting principles and included in the financial statements v. Other Ilabilities as per accounting principles and included in the financial statements v. Other Ilabilities as per accounting principles and included in the financial statements v. Other Ilabilities as per accounting principles and included in the financial constitution in such statements v. Other Ilabilities and included in the conditions specified by SECP are allowed to be deducted. v. Other Ilabilities of the principle period v. Other Ilabilities of the principle period v. Other Ilabilities v. Ot		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
iii Advance against shares for increase in Capital of Securities troker 100% halicut may be allowed in respect of advance against shares if: a. The existing authorities share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approveds have been obtained d. There is no unreasonabite debty in Issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. I. Other Iselabilities as part accounting principles and included in the financial statements 2.4 Subordinated Loans 1. 100x or Subordinated loans which fulfill the conditions pecified by SECP are allowed to be deducted: The Schedule Ill provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. C. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. B. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities 14,84,853 0 14,884,853 14,884,853 0 14,884,853 0 14,884,853 0 14,984,853 10 and cancertation in Margin Financing The amount calculated client-1or-client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. Concentration in Margin Financing The amount by which the dayogate of amounts receivable from total financess. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financess. Concentration in securities pedaged as margins exceed the 110% of the market value of shares bo					
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c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in sixue of shares against advance and all regulatory requirements relating to the increase in pald up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and included in the financial statements iv. Other liabilities as per accounting principles and must clearly effect the amount to be deducted. The Schedule Ill provides that 10% haircut will be allowed against subordinated Loans which furfill the conditions specified by SECP. In this repayable and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be excurred to exchange. ii. Subordinated loans which do not furfill the conditions specified by SECP. 2.5 Total Liabilities 14,984,853 3.8 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount acclusited client-to-client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from lotal financees. 3.2 Concentration in Securities Inending and borrowing The amount by which the aggregate of: (i) Cash margins paid and (ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) In the case of right issue: if the market value of securities less					
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IV. Other liabilities as per accounting principles and included in the financial statements					
Subordinated Loans					
100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	2.4				
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3.1 Concentration in Margin Financing The amount calculated client -to - client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency 3.6 Amount Payable under REPO	2.5	Total Liabilites	14,984,853	0	14,984,853
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3.6 Amount Payable under REPO					
3.7 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-APR-19

of M& Dosslani's Securities (Pvt) Ltd

Submission Date 15-MAY-2019 12:40:08

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	0	1,239,214	1,239,214
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	1,239,214	1,239,214
3.12	Liquid Capital	48,073,997	34,849,192	11,293,539